

SoundLock

A Novel User Authentication Scheme for VR Devices
Using Auditory-Pupillary Response

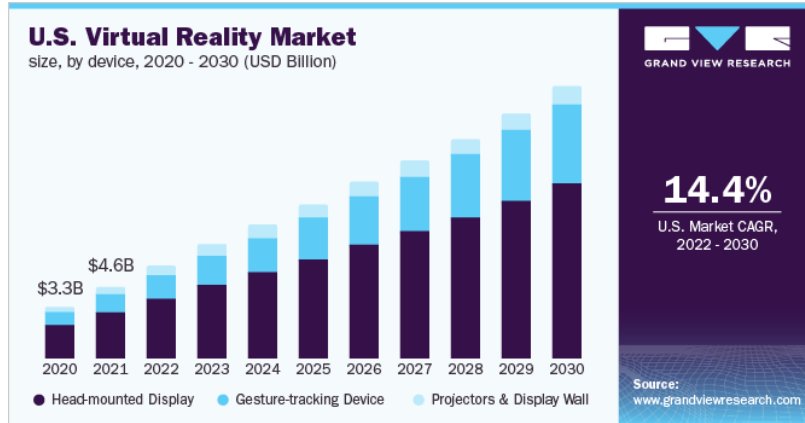
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The University of Texas at Arlington



Motivation

- Development of VR market
\$28 billion in 2022 
\$87 billion in 2030



[1] <https://www.grandviewresearch.com/industry-analysis/virtual-reality-vr-market>

- VR applications



E-commerce ▷



Education ▷



◁ Entertainment



◁ Healthcare



◁ Social media

Motivation

- Sensitive information is stored in and accessible through VR devices

- Personal media
- Bank information
- Health status

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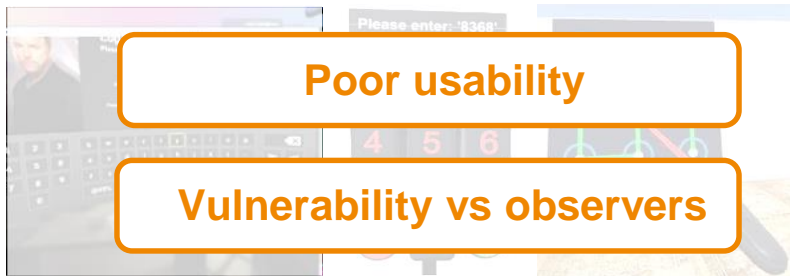
User authentication on VR is crucial

- VR applications



Related Work

Current solutions



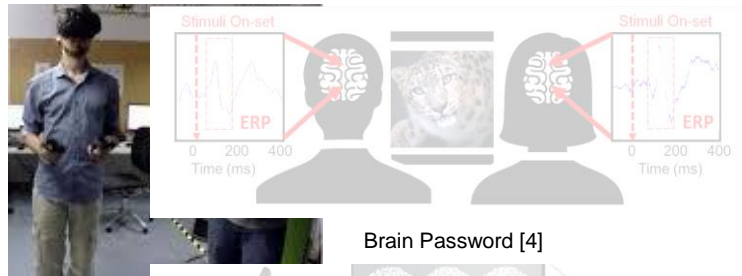
Password

PIN [2]

Pattern lock [3]

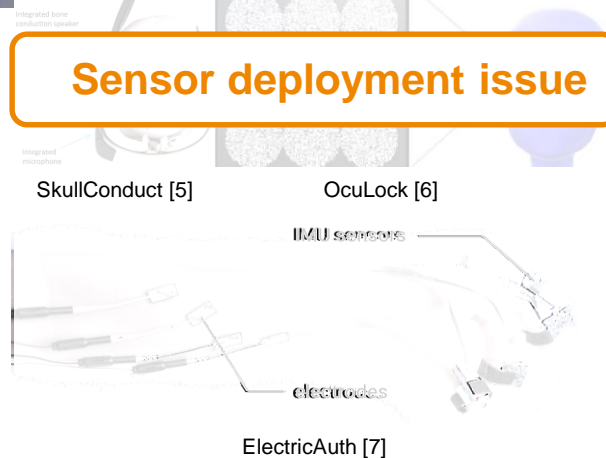
A **convenient, robust & deployable** user auth scheme is in dire need!

State-of-the-arts



Brain Password [4]

Sensor deployment issue

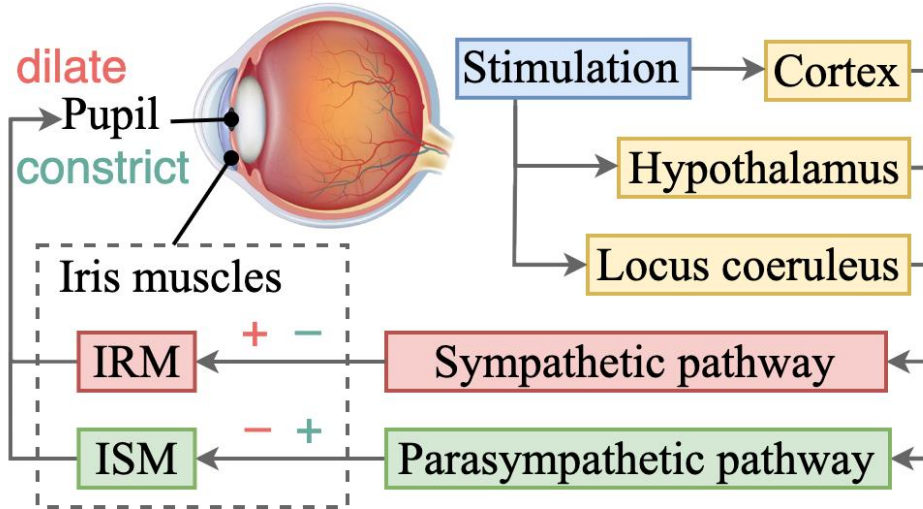


SkullConduct [5]

OcuLock [6]

ElectricAuth [7]

Background: Auditory-Pupillary Response

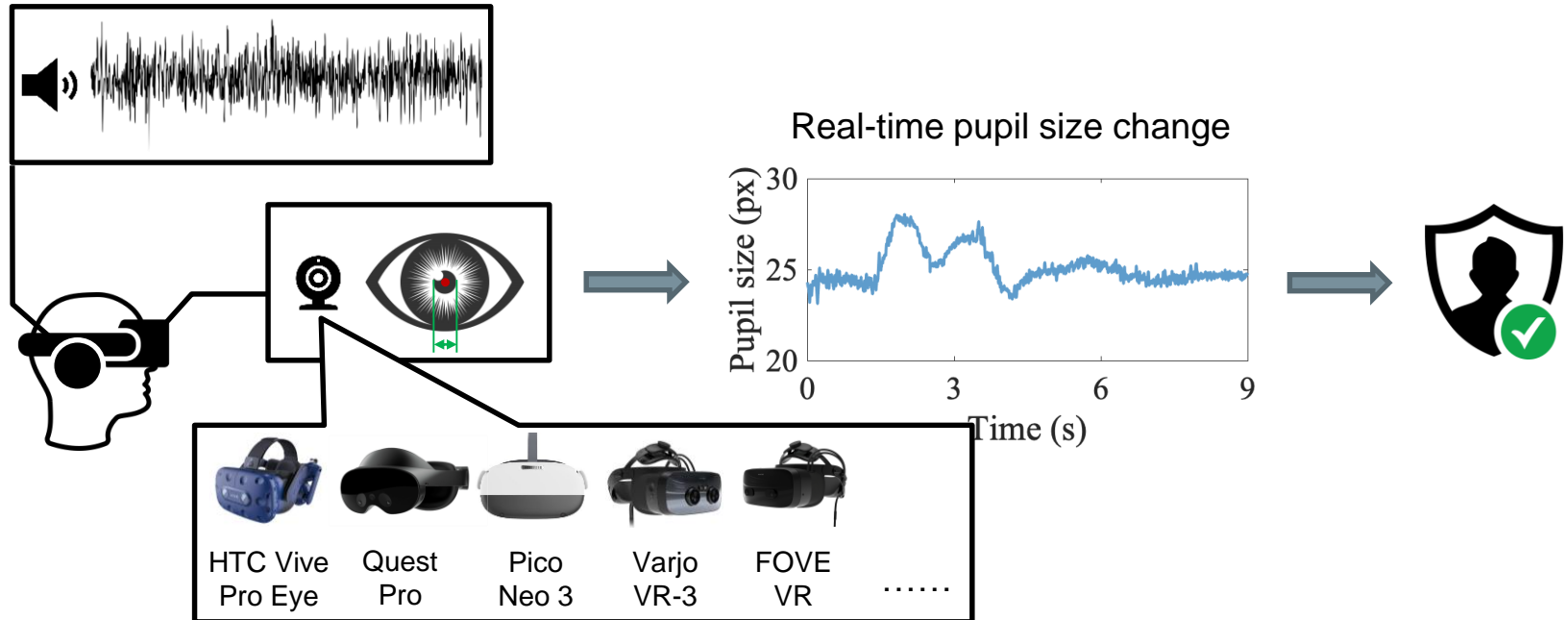


The complex structure of neural pathways and iris muscles:
biological uniqueness

Auditory-pupillary response mechanism

SoundLock: Basic Idea

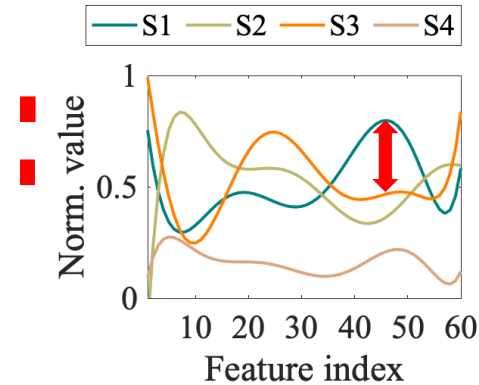
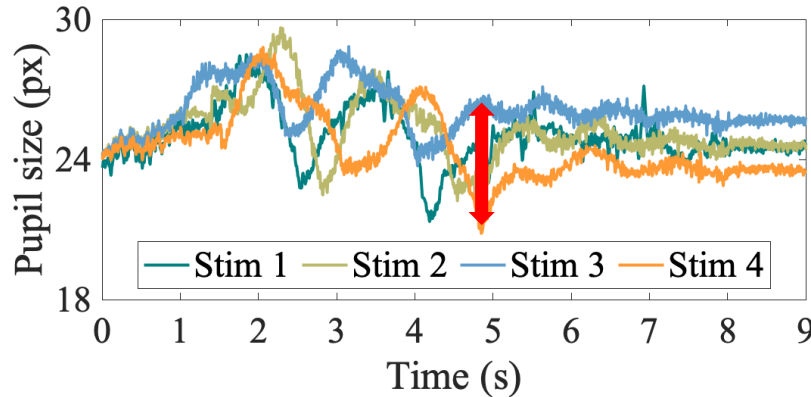
💡 Leverage new biometric, **auditory-pupillary response**, for user authentication



Measurement Study

- Setup

- 32 subjects × 20 audio tracks

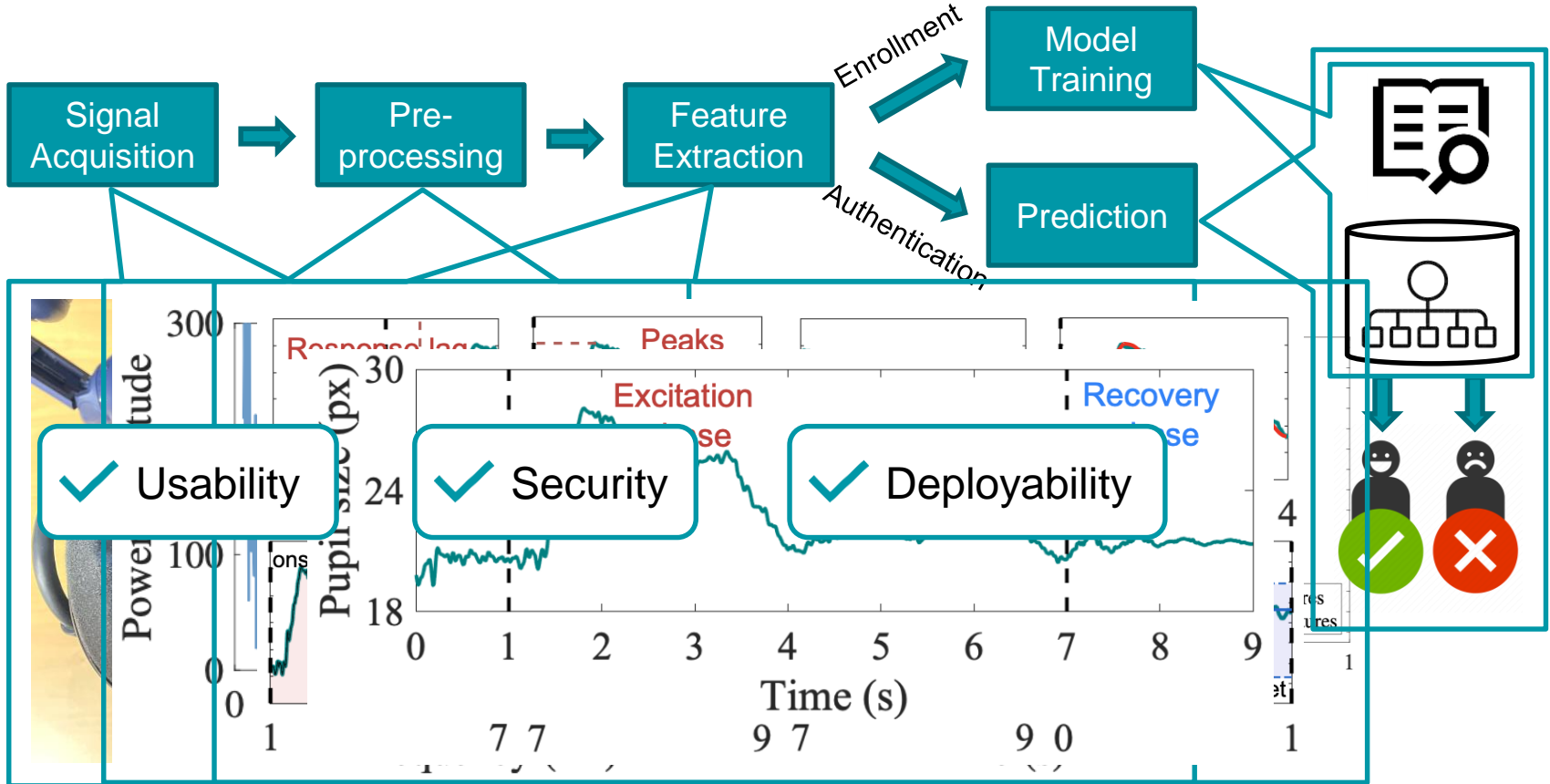


- Observations

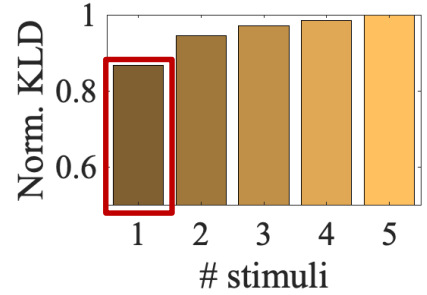
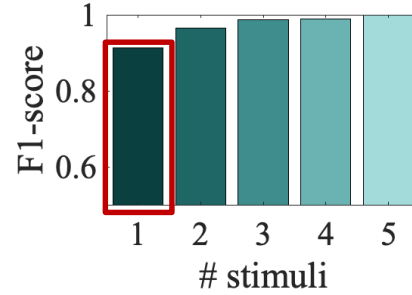
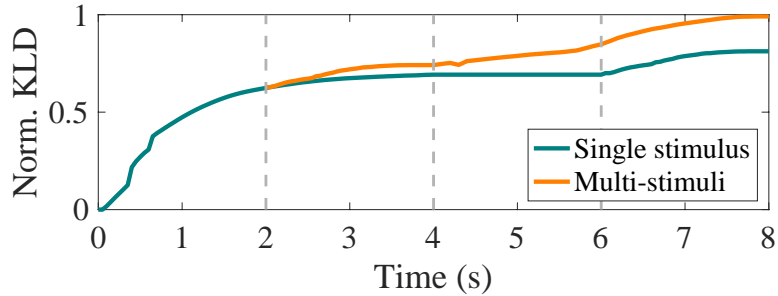
- Distinguishable responses across subjects
- Distinguishable responses across stimuli
- Consistent responses within a subject

Auditory-pupillary response: **ideal biomarker**

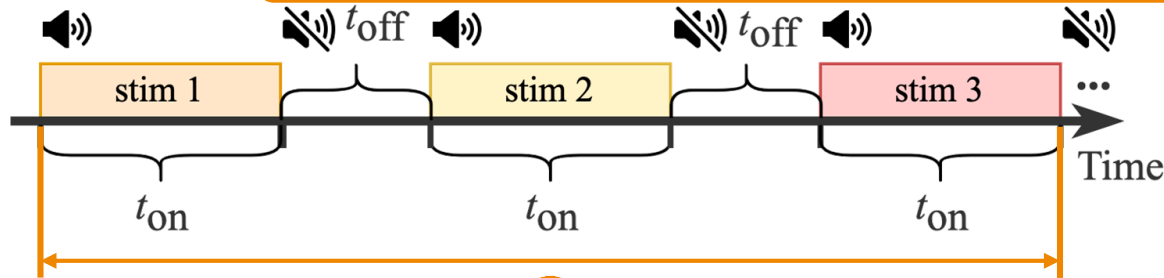
SoundLock: Workflow



Increasing System Entropy

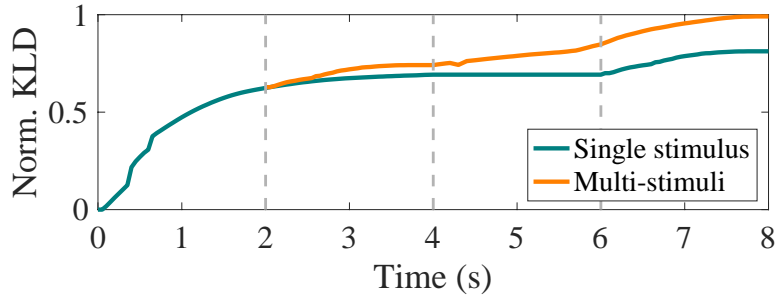


Strawman a Single stimulus: limited biometric entropy and authentication accuracy issues



Long authentication time!

Increasing System Entropy

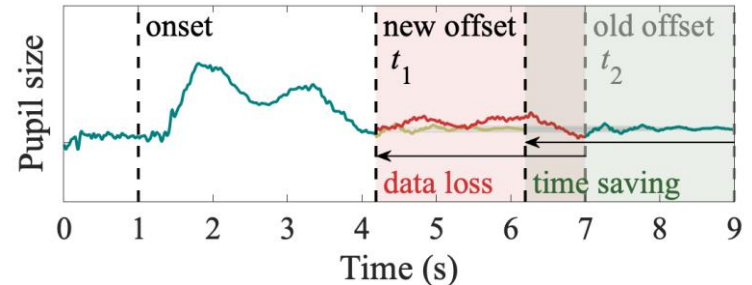
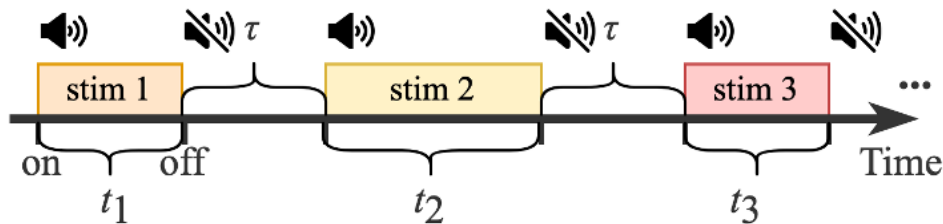


Performance indicator: Kullback-Leibler divergence (KLD)

$$D_{KL} = \sum_{x \in X} P(x) \log \left(\frac{P(x)}{Q(x)} \right)$$

KLD: non-uniform distribution \rightarrow truncate stimuli

Our solution: concatenate truncated stimuli



Optimize **stimuli selection** and **duration** for the best performance-time tradeoff

Optimization Approach

- Problem formulation

$$\max_{m,t} D_{KL}(P||Q)$$

$$\text{s.t. } \sum_{i=1}^N (t_i + \tau) \times m_i \leq T_0$$

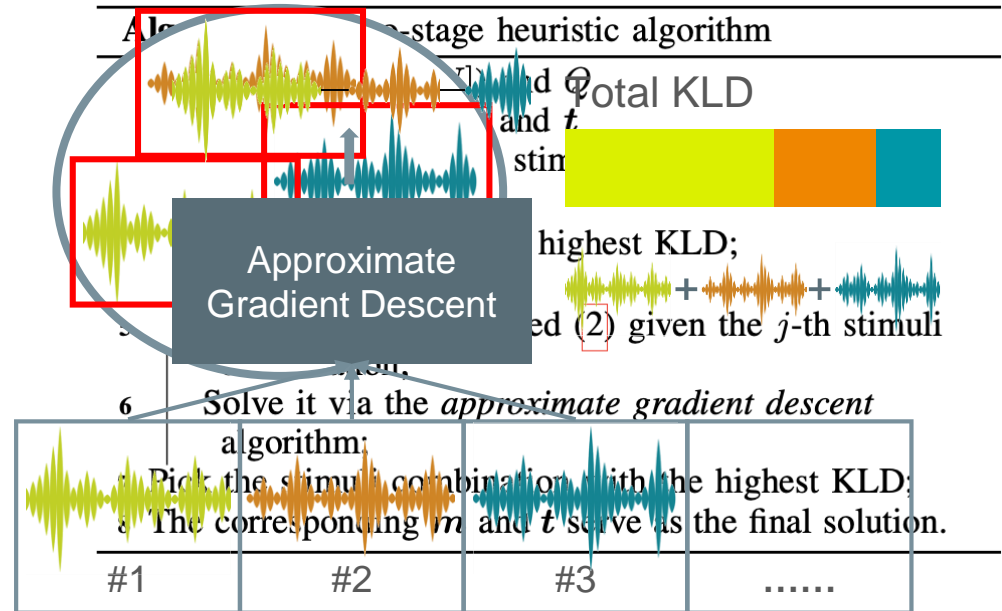
$$m_i \in \{0,1\}$$

Correlation between variables

Non-linearity

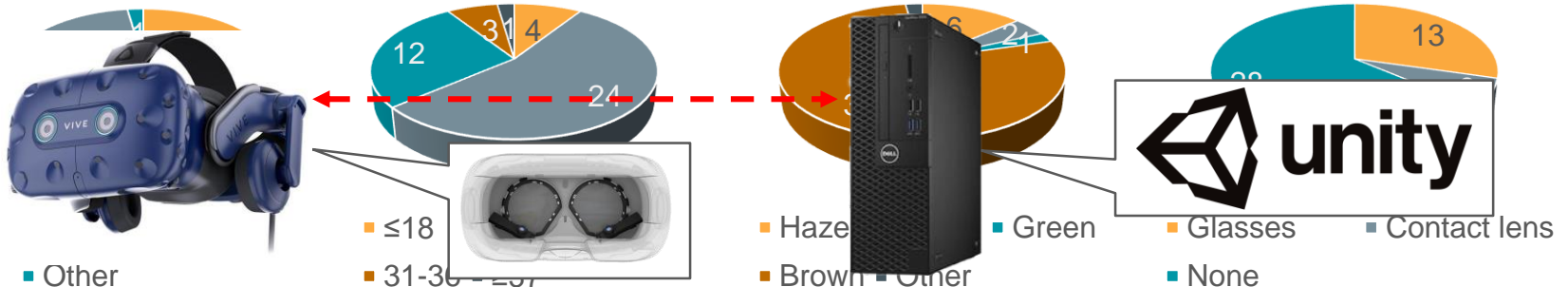
- Two-stage heuristic optimization algorithm

- **Stage 1** approximately optimize m
- **Stage 2** optimize t via AGD



Experiment Setup

- Prototype apparatus
 - VR headset: HTC VIVE Pro
 - Eye tracker: Pupil Labs add-on
 - Server: Exxact desktop
 - Processor: Intel Core i7 CPU
 - GPU: 2x NVIDIA GeForce RTX
 - Operating system: Windows 10
 - Software platform: Unity
- Recruitment
 - 44 participants from UT Arlington
- Procedure
 - Enrollment
 - Authentication
 - Impersonation attack
 - Consistency tests
 - User study



Overall Performance

- Comparison with state-of-the-arts

Approach	FAR (%)	FRR (%)	F1-score	Auth time
PIN* [2]	-	>1.14	-	2.54-2.95
Drawing pattern* [2]	-	>5.19	-	2.82-3.87
OcuLock [6]	3.55	3.55	0.983	<10
SkullConduct [5]	6.90	6.90	-	<23
Brain Password [3]	2.50	2.50	0.955	≈4.80
ElectricAuth [7]	0.83	2.00	-	≈1.30
SoundLock (this work)	0.76	0.91	0.984	≤7

Best authentication performance

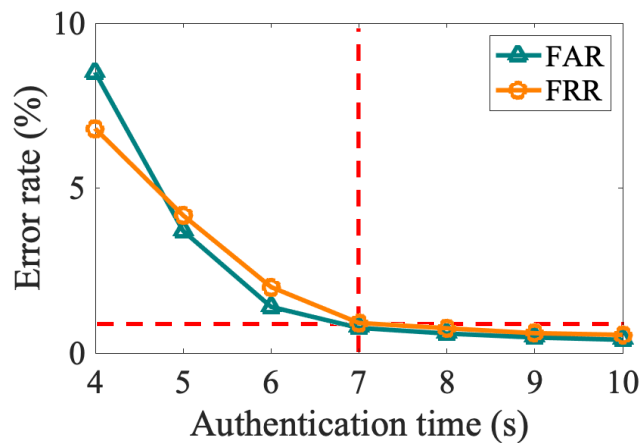
Entropy Analysis

- Comparison with existing generic authentication systems

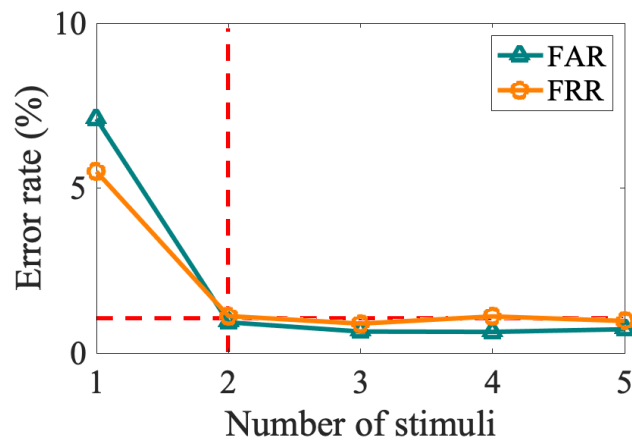
Work	Authentication method	Entropy (bits)
Wang et al. [8]	Password	20 – 23
Wang et al. [9]	PIN (4-digit ^[1] , 6-digit ^[2])	8.41 ^[1] , 13.21 ^[2]
Sae-Bae et al. [10]	Keystroke	3.48 – 4.62
Youmaran et al. [11]	Iris	278 – 288
Takahashi et al. [12]	Fingerprint	18.6
Adler et al. [13]	Face	37.0 – 55.6
SoundLock (this work)	Pupillometry	81

Performance-Usability Tradeoff

- Error rate vs authentication time



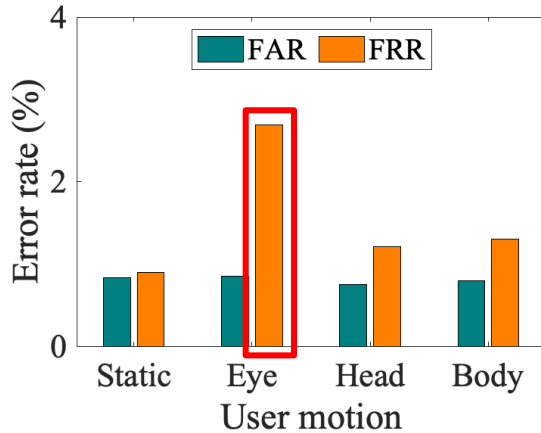
- Error rate vs number of stimuli



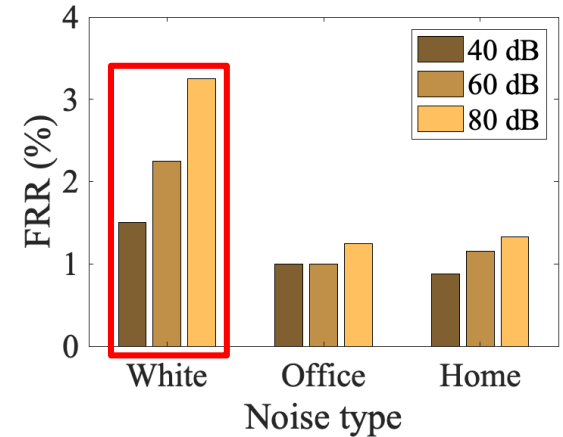
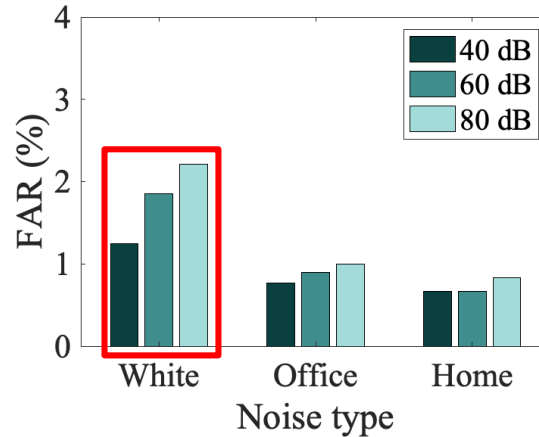
Optimal tradeoff

Performance Under Various Scenarios

- Impact of user motion



- Impact of ambient noise

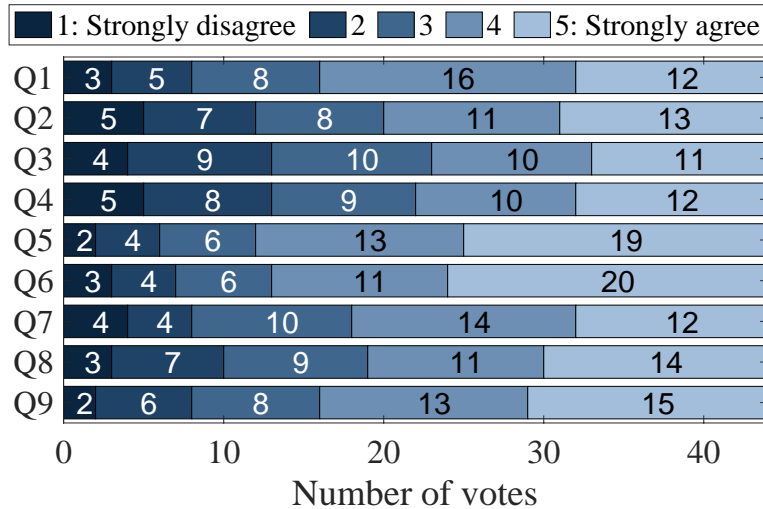


Eye movement: highest impact on FRR
Minimal impact on FAR: no security degradation

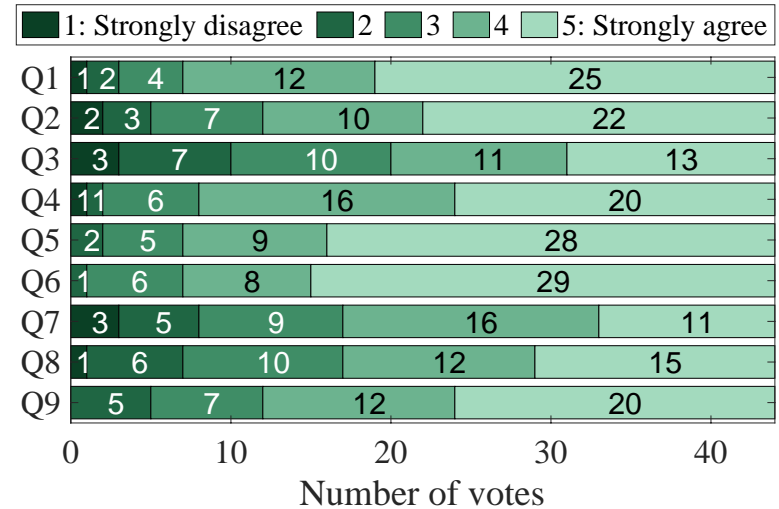
White noise: highest impact
Consistent in real-world scenarios

User Study

- Closed questionnaire design
- User feedback



Pre-study results



Post-study results

Well perceived by users in both studies

Improvement in post-study: exceeds expectations

User Study

- Open questionnaire
 - What's your **overall experience** with SoundLock?
 - *"It was a **fun** experience!"* (P9)
 - *"The idea of using pupil for authentication is **smart**."* (P35)
 - *"I **don't need to do anything** and the authentication is automatically done."* (P40)
 - Do you have any **concerns** or did you notice any potential issues of SoundLock?
 - *"Will **twins or siblings be able to hack** into each other's profile?"* (P35)
 - *"Will my pupillary response be used to **infer what I'm thinking**?"* (P38)
 - Do you have any **suggestions** to improve SoundLock in the future?
 - *"I think the system can be **extended to smartphones**, which will prove a valuable addition. The speaker can emit a sound and the eye image can be captured by the camera."* (P1)

Conclusion

- ✓ We investigate **auditory-pupillary response**, a novel reflexive physiological biometric, for user authentication on VR devices
- ✓ We formulate an **optimization problem** and propose a **two-stage heuristic algorithm** to efficiently optimize the accuracy-usability tradeoff
- ✓ We prove via **extensive in-field experiments** that SoundLock **outperforms state-of-the-art solutions** and is **well received among users**

THANK YOU

Check our **SoundLock** paper



Find out about our **MobiSecf** group

