## Bridging the Privacy Gap: Enhanced User Consent Mechanisms on the Web

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NDSS Workshop on Measurements, Attacks, and Defenses for the Web (MADWeb), San Diego, CA, USA, March 3, 2023

🚓 🛛 functionality and advertising. Learn more about Redo

#### Did someone say ... cookies?

Twitter and its partners use cookies to provide you with a better, safer and faster service and to support our

#### yahoo!

#### Your information, your experience

We, Yahoo, are part of the Yahoo family of brands.

By clicking 'Accept all' you agree that Yahoo and our partners will process your personal information, and use technologies such as cookies, to display personalised ads and content, for ad and content measurement, audience insights, and product development.

#### The personal information that may be used

- Information about your device and internet connection, like your IP address
- Browsing and search activity while using Yahoo websites and apps
- Your precise location

Click 'Manage settings' for more information and to manage your choices. You can change your choices at any time by visiting your privacy controls. Find out more about how we use your information in our privacy policy and cookie policy.





#### s from Facebook on this browser?

oducts for people who have an account

vices off of Facebook Products, analytics, and to provide

ies and how we use them, and review or change your

#### yahoo!

#### Control how we and our partners use your personal information

You can set your privacy preferences using the controls below. Once you set your preferences, you can change them later by visiting your privacy controls. Find out more about how we use your information in our privacy policy and cookie policy.

How we use your personal information	Accept all
To provide and protect our products: We will use cookies and similar technologies and process your personal information when personal technologies.	ALWAYS ACTIVE
Advertising on our sites and apps: With your consent (permission), we will use cookies and similar technologies to provide ads that we think you'll findMore	OFF
Precise location information: With your consent, we will use your precise location to tailor the ads and content we provide to you on Yahoo and ourmore	OFF
Personalised advertising on partner sites: With your consent, we will provide you with relevant ads and content on our partners' sites. We will also distinguished with the site of the si	OFF
Device linking: With your consent, we will link your devices using common identifiars. This allows us to understand when your and your household usmore	OFF
Audience matching: With your consent, we will use your identifiers and personal information to display relevant and personalised ade to audiencemore	OFF
Personalised content on our sites and apps: With your consent, we will combine and use information we have about you to provide tailored contermore	OFF

How our partners use your personal information

Content and social-media partners

Yahoo works with partners who provide features on our products to personalise content, for example by providing content and links to social-media platfc ...more OFF

✓ Show content and social-media partners

#### IAB framework partners

We work with partners that use the IAB Transparency & Consent Framework, which is an advertising-industry framework that gives you control over how these partners use your personal information. You can tailor your preferences by purpose or feature, or by individual partner.

View by purpose/feature View by partner

**Consent:** Next to each purpose/feature below is a **consent** toggle. Turn this 'ON' to allow all partners to process your data where they rely on consent.

Legitimate Interest: Next to some purposes below is a legitimate interest toggle. Some partners do not require your consent to process your data for these purposes. Set this toggle 'OFF' to opt out from this purpose for partners who rely on legitimate interest.

	LEGITIMATE INTEREST	CONSENT
VIEW BY PURPOSE/FEATURE	Reject all	Accept all
<ul> <li>Store and/or access information on a device</li> </ul>		X
Cookies, device identifiers, or other information can be stored or accessed on your device for the purposes presented to you.		OFF
✓ Select basic ads		X
Ads can be shown to you based on the content you're viewing, the app you're using, your approximate locatio your device type.	ON n, or	OFF
<ul> <li>Create a personalised ads profile</li> </ul>		X
A profile can be built about you and your interests to sh you personalised ads that are relevant to you.	OW	OFF
<ul> <li>Select personalised ads</li> </ul>		×
Personalised ads can be shown to you based on a profi about you.	ile	OFF
<ul> <li>Create a personalised content profile</li> </ul>		×
A profile can be built about you and your interests to sh you personalised content that is relevant to you.	ow	OFF
<ul> <li>Select personalised content</li> </ul>	$\checkmark$	×
Personalised content can be shown to you based on a about you.	profile ON	OFF
<ul> <li>Measure ad performance</li> </ul>	$\sim$	X
The performance and effectiveness of ads that you see interact with can be measured.	or ON	OFF
<ul> <li>Measure content performance</li> </ul>	$\sim$	×
The performance and effectiveness of content that you or interact with can be measured.	see ON	OFF
<ul> <li>Apply market research to generate audience insight</li> </ul>	hts	×
Market research can be used to learn more about the audiences who visit sites/apps and view ads.	ON	OFF
<ul> <li>Develop and improve products</li> </ul>	$\sim$	×
Your data can be used to improve existing systems and software, and to develop new products	ON	OFF
Special purposes		
$\checkmark$ Ensure security, prevent fraud, and debug		
Your data can be used to monitor for and prevent fraudi activity, and ensure systems and processes work prope and securely.	ulent ırly	



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Sanchez-Rola et al. (2019) show that more than 90% of visited websites use cookies that could identify users.



# ICO to call on G7 countries to tackle cookie pop-ups challenge

Date 07 September 2021

Type News

The UK Information Commissioner's Office (ICO) will today call on fellow G7 data protection and privacy authorities to work together to overhaul cookie consent pop-ups, so people's privacy is more meaningfully protected and businesses can provide a better web browsing experience.

<u>ico.org.uk</u> (2021)

## *noyb* aims to end "cookie banner terror" and issues more than 500 GDPR complaints

Today, *noyb.eu* sent over 500 draft complaints to companies who use unlawful cookie banners - making it the largest wave of complaints since the GDPR came into force.

<u>noyb.eu</u> (2021)



Why? GeneralDataProtectionRegulation

California Consumer Privacy Act



# Is there no better solution? Well...



#### Contributions

- We **identify current gaps in user data control** through an extensive review and **present ten consent mechanism properties**
- We **review existing browser-based consent mechanisms** and compare them with regards to the derived properties
- We **suggest five features designed as improvements** to the Advanced Data Protection Control (ADPC), including an implementation roadmap



### European privacy legislation





"any <u>freely given</u>, <u>specific</u>, <u>informed</u> and <u>unambiguous</u> indication of the data subject's wishes by which he or she, by a statement or by a <u>clear affirmative action</u>, signifies agreement to the processing of personal data relating to him or her"

GDPR on 'consent'



### **Consent mechanisms**

- Browser-based
  - Older:
    - Platform for Privacy Preferences Project (P3P)
    - Do Not Track (DNT)
  - Newer:
    - Global Privacy Control (GPC)
    - Advanced Data Protection Control (ADPC)
- Provider-based



### **Proposed properties**

P1	No prior storing/sending
P2	Freely given consent
P3	Specific separate consent
P4	Informed consent
P5	Unambiguous consent
P6	Readable and accessible
P7	Changeable
P8	Standardized
P9	No abuse of necessary
P10	Browser-controlled



### **Proposed properties**

<b>P10</b>	<b>Browser-controlled</b>
<b>P9</b>	No abuse of necessary
<b>P8</b>	Standardized
<b>P</b> 7	Changeable
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Mechanism					Pro	operti	es			
	<b>P1</b>	P2	<b>P3</b>	<b>P4</b>	P5	<b>P6</b>	<b>P7</b>	<b>P8</b>	<b>P9</b>	P10
P3P <sup>†</sup>	O	igodot	D	Ð	lacksquare	lacksquare	D	•	0	•
$\mathbf{DNT}^{\dagger}$	$\bigcirc$	igodot	$\bigcirc$	igodot	$\bigcirc$	$\bigcirc$	lacksquare	lacksquare	$\bigcirc$	igodol
GPC*	$\bigcirc$	igodot	igodol	lacksquare	$\bigcirc$	lacksquare	${}^{\bullet}$	lacksquare	$\bigcirc$	igodol
ADPC*	0	lacksquare	lacksquare	lacksquare	${}^{\bullet}$	$\bullet$	${}^{\bullet}$	$\bullet$	0	•
ADPC enhancement	•	O		•		D		Ð		•
Data controllers			_	_	_		_	_	_	_
Legislation	—	—	—	_	_	_	—	lacksquare	$\bullet$	_
Combined effects	$\bullet$	•	•	•	•		۲	•	•	•
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Mechanism			Properti	es	
	P1 P2	P3 P4	P5 P6	P7 P8	P9 P10
P3P <sup>†</sup> DNT <sup>†</sup>	$ \begin{array}{c} \bullet \\ \circ \\ \bullet \end{array} $	$ \bigcirc \bigcirc \bigcirc $	$ \bigcirc \ \bigcirc $	$\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}$	$\bigcirc  \bullet \\ \bigcirc  \bullet \\$
GPC <sup>*</sup> ADPC <sup>*</sup>	$\bigcirc  \bigcirc  \bigcirc  \bigcirc  \bigcirc  \bigcirc  \bigcirc  \bigcirc  \bigcirc  \bigcirc $		$\bigcirc \bigcirc \bigcirc \bigcirc \bigcirc$		
ADPC enhancement Data controllers Legislation					
Combined effects					

† = W3C official standard; \* = unofficial draft



Mechanism					Pro	operti	es			
	<b>P1</b>	P2	<b>P3</b>	<b>P4</b>	P5	<b>P6</b>	<b>P7</b>	<b>P8</b>	<b>P9</b>	<b>P10</b>
P3P <sup>†</sup>									$\bigcirc$	
DNT <sup>†</sup>	$\bigcirc$		$\bigcirc$		$\bigcirc$	$\bigcirc$			$\bigcirc$	
GPC*	0		O	lacksquare	0		O	lacksquare	0	
ADPC*	0	lacksquare	igodol	lacksquare	igodot	lacksquare	igodol	lacksquare	$\bigcirc$	●
ADPC enhancement										
Data controllers										
Legislation										
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Mechanism			Properti	es	
	P1 P2	P3 P4	P5 P6	P7 P8	P9 P10
P3P <sup>†</sup>	00	•	$\mathbf{O}$		$\bigcirc$
DNT <sup>†</sup>					
GPC <sup>*</sup>					
ADPC*					
ADPC enhancement		••		• •	••
Data controllers	- •		- •		
Legislation				- •	• -
Combined effects					
$\bullet = $ fulfills	property; $\P$ = does no	) = partly fu t fulfill prop	lfills proper erty; $- = nc$	ty or usage- ot applicable	dependent;
+ =	= W3C offi	icial standa	rd; * = un	official drat	ft



Mechanism					Pro	operti	es			
	<b>P1</b>	<b>P2</b>	<b>P3</b>	<b>P4</b>	P5	<b>P6</b>	<b>P7</b>	<b>P8</b>	<b>P9</b>	<b>P10</b>
P3P <sup>†</sup>	D								$\bigcirc$	
$DNT^\dagger$										
$\operatorname{GPC}^*$										
ADPC <sup>*</sup>										
ADPC enhancement										
Data controllers										
Legislation										
Combined effects	۲									
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+ =	- W3	C off	icial s	tanda	rd; *	= un	officia	al dra	ft	





xkcd.com #927



#### Suggested features



#### Feature 1: No prior storing/sending of data





### Feature 2: **Present complete and required information**

/.well-known/privacy



- 1. User visits website
- 2. Browser queries server
- 3. Server provides consent info
- 4. Browser presents in consent UI



### Feature 3: Configurable and changeable specific consent per purpose





#### Feature 4: Browser—server and server server communication





#### Feature 5: Require consent for cookies without Necessary attribute





#### Putting it all together









Possible presentation of a consent request by the web browser (ADPC draft, CC BY 4.0)











#### Implementation roadmap





### Conclusions

- We **identify current gaps in user data control** through an extensive review and **present ten consent mechanism properties**
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